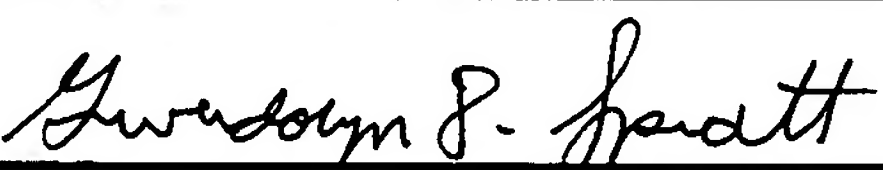
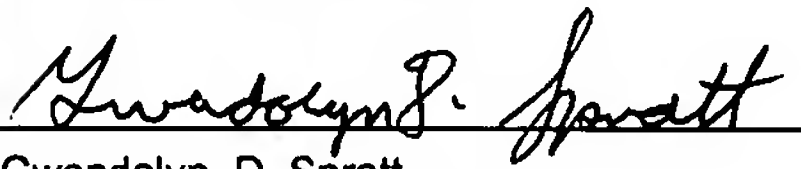
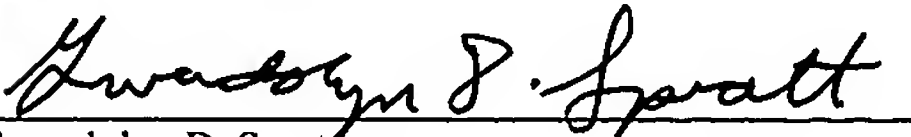


UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.: 14014.0252U3		
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor: Chiorini et al.		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility application contents		Title: "AAV4 VECTOR AND USES THEREOF"		
1. <input checked="" type="checkbox"/> Specification [Total Pages 75] (Includes description, claims, and abstract)		Express Mail Label No.: EL 992019328 US		
2. <input checked="" type="checkbox"/> Drawings [Total Sheets 3]		ADDRESS TO: Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		
3. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 4] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20B completed)		6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large tables or Computer Program (Appendix)		
4. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 [Total Pages ____]		ACCOMPANYING APPLICATION PARTS: 7. <input type="checkbox"/> Copy of Assignment 8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) 9. <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations [Total Citations ____] 12. <input type="checkbox"/> Preliminary Amendment 13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 14. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i) (Must attach form PTO/SB/35 or equivalent). 15. <input checked="" type="checkbox"/> Authorization to Treat Reply Requiring Extension of Time as Incorporating Petition for Extension of Time 16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 17. <input checked="" type="checkbox"/> Other: <u>Communication (4 Pages)</u> _____ _____		
5. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) --OR-- <input checked="" type="checkbox"/> Statement under 37 CFR 1.821(e) (see Box 21 for statement) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper [Total Pages 27] c. <input checked="" type="checkbox"/> The contents of the computer readable form of the sequence listing and the sequence listing in the specification of the application are the same.				
18. The FILING FEE is calculated as follows: (Claims as filed, less any claims cancelled by Amendment)				
Claims	Number Filed	Number Extra	Rate	\$ 770.00 (Basic Fee)
Total claims	[42] - 20 =	22	X \$18.00	\$ 396.00
Independent claims	[3] - 3 =	0	X \$86.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 290.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees are reduced by 1/2.				\$
TOTAL FILING FEE =				\$ 1166.00
19. Payment:				
<input type="checkbox"/> A check in the amount of \$_____ is enclosed.				
<input checked="" type="checkbox"/> Credit Card Payment Form PTO-2038 Authorizing Payment in the amount of \$1,166.00 is enclosed.				
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional amount due or credit any overpayment to Deposit Account No. 14-0629.				

20A.	<input type="checkbox"/>	This application is an original application (<u>not</u> a continuation, divisional or continuation-in-part).
20B.	<input checked="" type="checkbox"/>	<p>This application is a <input type="checkbox"/> continuation-in-part / <input checked="" type="checkbox"/> continuation / <input type="checkbox"/> divisional of prior Application No. <u>09/254,747</u></p> <p>The prior application was assigned to Examiner <u>Sumesh Kaushal</u>, Group Art Unit <u>1636</u>.</p> <p>The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.</p> <p><input checked="" type="checkbox"/> This application is a continuation or divisional application (<u>not</u> a continuation-in-part) that:</p> <ul style="list-style-type: none">(i) names as inventors the same or fewer than all the inventors named in the prior application; and(ii) contains no matter that would have been new matter in the prior application; and(iii) a copy of the executed oath or declaration in the prior application, showing the signature or an indication thereon that it was signed:<ul style="list-style-type: none"><input checked="" type="checkbox"/> is enclosed.<input type="checkbox"/> will follow. <p><input type="checkbox"/> This application is a continuation or divisional application (<u>not</u> a continuation-in-part) that is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. §1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person who are not inventors of the invention being claimed in this application:</p> <p>_____</p> <p><input type="checkbox"/> This application is a continuation-in-part, continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:</p> <ul style="list-style-type: none"><input type="checkbox"/> is enclosed.<input type="checkbox"/> will follow.
21.	<input checked="" type="checkbox"/>	<p>A sequence listing in computer readable form in compliance with 37 C.F.R. §§ 1.821-1.825 was submitted on <u>July 20, 2000</u> in prior Application No. <u>09/254,747</u>, filed <u>March 11, 1999</u>.</p> <p>Pursuant to 37 C.F.R. § 1.821(e), applicant hereby requests that the computer readable form of the sequence listing submitted in the above-referenced prior application be used as the computer readable form of the sequence listing for the new application. The paper or compact disk copy of the Sequence Listing in the new application is identical to the computer readable copy filed in the prior application.</p>
22.	<input type="checkbox"/>	<p>This application claims foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) listed below, or under 35 USC 365(a) or 365(b) of any PCT application listed below.</p> <p>Application No. _____ Country: _____ Day/Month/Year Filed: _____</p>
23. Address all correspondence to the address associated with: CUSTOMER NO. 36399		
Name of Attorney/Agent: Gwendolyn D. Spratt		Registration No.: 36,016
Signature: 		Date: <u>11-20-03</u>
<p style="text-align: center;">Certificate of Express Mailing Under 37 C.F.R. § 1.10</p> <p>I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as Express Mail, Label No. EXPRESS MAIL LABEL NO.EL 992019328 US in an envelope addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 40%;"> Gwendolyn D. Spratt</div><div style="width: 40%; text-align: right;"><u>11-20-03</u> Date</div></div>		

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as Express Mail, Label No. EL992019328US, in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.


Gwendolyn D. Spratt

11-20-03
Date